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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>  |  | Attorney Docket No. 112063                     |  | Total Pages         |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
|  |  | First Named Inventor or Application Identifier |  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
|  |  | Justin Che-I Chuang et al.                     |  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
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| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.  |  |  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br><small>(submit an original, and a duplicate for fee processing)</small><br>2. <input checked="" type="checkbox"/> Specification [Total Pages 45]<br><small>(preferred arrangement set forth below)</small><br>Descriptive title of invention<br>Cross References to Related Applications<br>Statement Regarding Fed sponsored R&D<br>Reference to Microfiche Appendix<br>Background of the Invention<br>Brief Summary of the Invention<br>Brief Description of the Drawings (if filed)<br>Detailed Description<br>Claim(s)<br>Abstract of the Disclosure<br>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 9]<br>4. Oath or Declaration [Total Pages 2]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><small>(for continuation/divisional with Box 17 completed)</small><br><small>[Note Box 5 below]</small><br>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br>Signed statement attached deleting inventor(s)<br>named in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b)<br>5. <input checked="" type="checkbox"/> Incorporation by reference (useable if Box 4b is checked)<br>The entire disclosure of the prior application, from which a copy of the oath<br>or declaration is supplied under Box 4b, is considered as being part of the<br>disclosure of the accompanying application and is hereby incorporated by<br>reference herein. |  |  | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small><br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies<br><div style="text-align: right; margin-top: 10px;">           JC564 U.S. PTO<br/>           09/480676<br/>           01/11/00         </div>  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
|  |  |  | <b>ACCOMPANYING APPLICATION PARTS</b>  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
|  |  |  | 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations<br>12. <input checked="" type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small><br>14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application,<br>Statement(s) Status still proper and desired<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small><br>16. <input type="checkbox"/> Other : |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:<br><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No: 08/846,712   |  |  |  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
| <b>18. CORRESPONDENCE ADDRESS</b>  |  |  |  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
| <input type="checkbox"/> Customer Number or Bar Code Label <div style="border: 1px solid black; width: 200px; height: 40px; margin: 5px auto;"></div> (Insert Customer No. or Attach bar code label here)         or <input checked="" type="checkbox"/> Correspondence address below  |  |  |  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td colspan="6">NAME Samuel H. Dworetzky</td> </tr> <tr> <td colspan="6">ADDRESS AT&amp;T CORP. P.O. Box 4110</td> </tr> <tr> <td colspan="2">CITY Middletown</td> <td colspan="2">STATE New Jersey</td> <td colspan="2">ZIP CODE 07748-4801</td> </tr> <tr> <td colspan="4">COUNTRY United States of America</td> <td colspan="2">FAX 732-957-5505</td> </tr> </table>   |  |  |  |                     |  | NAME Samuel H. Dworetzky |  |  |  |  |  | ADDRESS AT&T CORP. P.O. Box 4110 |  |  |  |  |  | CITY Middletown |  | STATE New Jersey |  | ZIP CODE 07748-4801 |  | COUNTRY United States of America |  |  |  | FAX 732-957-5505 |  |
| NAME Samuel H. Dworetzky   |  |  |  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
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| CITY Middletown  |  | STATE New Jersey                               |  | ZIP CODE 07748-4801 |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
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| <b>19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</b>   |  |  |  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
| NAME Christopher J. Hamaty   |  |  |  | Reg. # 37634        |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
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| SIGNATURE <i>Chris Hamaty</i>  |  |  |  | DATE 1-11-00        |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |
| "Express Mail" Mailing Label Number _____ Date of Deposit _____<br>I hereby certify that this _____ is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the<br>date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. , 20231<br><div style="text-align: center; margin-top: 20px;">           _____<br/>           (Printed Name of Person Mailing Paper)<br/>           _____<br/>           (Signature of Person Mailing Paper)         </div>  |  |  |  |                     |  |                          |  |  |  |  |  |                                  |  |  |  |  |  |                 |  |                  |  |                     |  |                                  |  |  |  |                  |  |

| Variable              | Mean | SD   | Min | Max  | Median | Q1  | Q3   | Mode | Skewness | Kurtosis | Shapiro-Wilk | Normality |
|-----------------------|------|------|-----|------|--------|-----|------|------|----------|----------|--------------|-----------|
| Age                   | 35.2 | 12.5 | 18  | 65   | 32     | 28  | 38   | 35   | 0.15     | 2.1      | 0.98         | Normal    |
| Gender                | 1.2  | 0.4  | 1   | 2    | 1      | 1   | 1    | 1    | 0.05     | 0.2      | 0.95         | Normal    |
| Education             | 12.5 | 2.1  | 9   | 16   | 12     | 11  | 13   | 12   | 0.12     | 1.8      | 0.97         | Normal    |
| Income                | 1500 | 500  | 500 | 3000 | 1200   | 800 | 1800 | 1000 | 0.25     | 3.5      | 0.92         | Normal    |
| Marital Status        | 1.8  | 0.6  | 1   | 3    | 2      | 1   | 2    | 2    | 0.08     | 0.3      | 0.96         | Normal    |
| Occupation            | 2.5  | 1.2  | 1   | 5    | 2      | 1   | 3    | 2    | 0.18     | 2.5      | 0.94         | Normal    |
| Health Status         | 1.5  | 0.5  | 1   | 2    | 1      | 1   | 1    | 1    | 0.02     | 0.1      | 0.99         | Normal    |
| Stress Level          | 3.2  | 1.1  | 1   | 5    | 3      | 2   | 4    | 3    | 0.10     | 2.0      | 0.97         | Normal    |
| Life Satisfaction     | 4.5  | 1.0  | 3   | 6    | 4      | 4   | 5    | 4    | 0.05     | 0.2      | 0.98         | Normal    |
| Work-Life Balance     | 3.8  | 1.2  | 2   | 5    | 4      | 3   | 4    | 4    | 0.08     | 0.3      | 0.96         | Normal    |
| Family Support        | 4.2  | 0.9  | 3   | 5    | 4      | 4   | 4    | 4    | 0.03     | 0.1      | 0.99         | Normal    |
| Community Involvement | 2.8  | 1.0  | 1   | 4    | 3      | 2   | 3    | 3    | 0.12     | 1.9      | 0.97         | Normal    |
| Personal Growth       | 3.5  | 1.1  | 2   | 5    | 3      | 3   | 4    | 3    | 0.08     | 0.3      | 0.96         | Normal    |
| Overall Well-being    | 4.0  | 1.0  | 3   | 5    | 4      | 4   | 4    | 4    | 0.04     | 0.2      | 0.98         | Normal    |

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

CHUANG, J. et al.

Art Unit: --

Serial No.: -- Divisional of 08/846,712

Examiner: --

Filed: January 11, 2000

For: **SYSTEM AND METHOD FOR DYNAMICALLY ASSIGNING CHANNELS FOR  
WIRELESS PACKET COMMUNICATIONS**

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination, please kindly amend the application as follows:

**IN THE CLAIMS:**


Kindly **CANCEL** claims 1-21 .

**Additional Fees**

The Assistant Commissioner is hereby authorized to charge any additional fees which  
may be required for timely consideration of this Amendment, or credit any overpayment to

Deposit Account No. 13-4503, Order No. 2455-4376US1.

Respectfully submitted,

  
Christopher J. Hamary  
Reg. No. 37,634

Dated: January 11, 2000

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**SYSTEM AND METHOD FOR DYNAMICALLY ASSIGNING  
CHANNELS FOR WIRELESS PACKET COMMUNICATIONS**

**BACKGROUND OF THE INVENTION**

**1. Field of the Invention**

The present invention relates to the field of telecommunications. More particularly, the present invention relates to a system and a method for wireless packet communications.

**2. Description of the Related Art**

Cellular radio services have been extremely successful in providing untethered voice communications. With the advent of new personal communications services, wireless access is expected to become even more popular. At the same time, personal computers and Internet services are experiencing explosive growth due to low cost, high performance computer technologies and attractive network applications. The popularity of the World Wide Web is further accelerating the explosive growth of personal computers and Internet services. Advanced Cellular Internet Services (ACIS) are targeted for applications, such as web browsing, which have a peak downlink data rate on the order of 1-2 Mb/s using a wide-area cellular infrastructure.

A major obstacle for wireless data services is the capability of cellular networks for providing a transporting bandwidth sufficiently high for meeting the needs of web browsing and information exchange applications. For example, the bandwidth

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requirements for two-way Internet radio links are expected to be highly asymmetric. That is, a peak downlink data rate of about 2Mb/s is expected, with an uplink peak data rate of about one to two orders of magnitude less. Further, since there is a need for providing both voice and data services using a limited frequency spectrum, the algorithm used for channel  
5 assignment must aggressively reuse frequency to within a fraction of the total available bandwidth.

Further, ACIS poses several technical challenges in the area of medium access control (MAC) methodology. A suitable MAC method for ACIS must address not only packet server allocation (base station, antenna sector, radio transceiver and time slot), but also channel assignment for a given server. That is, a suitable MAC protocol must consist of two parts: an access protocol, and a channel assignment algorithm that handles the asymmetric uplink/downlink bandwidth expectations and the aggressive frequency reuse issues, respectively. The access protocol part of the MAC protocol is similar to a conventional wireline-based access protocol in that it must avoid "hard" collisions in the same cell, while the channel assignment part must avoid "soft" collisions among different  
10 cells caused by co-channel interference.

Further still, a suitable ACIS MAC protocol must also handle diverse types of multimedia traffic having different QoS (Quality of Service) requirements. For example, delay-sensitive traffic, such as packet voice traffic, and error-sensitive traffic, such as packet  
15 data traffic ranging from signalling a simple command to downloading a large image file, must be assigned radio resources that meet specific requirements. Accordingly, when packet

access techniques are used, radio resources, that is, channels, are assigned only when there are packets to be delivered. The price of the advantages obtained by this statistical multiplexing is a rapidly changing interference environment. Thus, a circuit-access channel assignment method that is based on continuous interference measurement and averaging may not achieve desirable results in a packet switched system because the interference environment measured may be significantly changed when actual transmissions occur, such as that disclosed by M. Frullone et al., "PRMA Performance In Cellular Environments with Self-Adaptive Channel Allocation Strategies," IEEE Trans. Veh. Tech., November 1996, pp. 657-665. The time delay occurring between measurement and transmission, known as "setup latency", has detrimental effects on system performance unless some "inertia" is introduced for reducing interference variations.

Existing wireless data rates of up to several tens of kb/s may be more than an order of magnitude short of what is required to make popular web browsing and information exchange applications user-friendly when used with wireless access. For example, see J.F. DeRose, The Wireless Data Handbook, Quantum Publishing, Inc., 1994. Although there are approaches that propose providing up to about 100 kb/s peak rates using second-generation digital cellular technologies, such as the GPRS (General Packet Radio Service) for the GSM system, such approaches usually aggregate several traffic servers (e.g., multiple time slots in TDMA systems) as a single high-rate data server using conventional frequency reuse strategies. See, for example, R. Ludwig, "Downlink Performance of the General Packet Radio Service for GSM," Proceedings, 3rd International Workshop on Mobile Multimedia

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Communications (MoMuC3), Paper # A.2.2.3, September 1996. Approaches that use multiple time slots for providing a single high-rate data server consume significant portions of system capacity and would seriously compromise traditional voice services when high-speed wireless data services become popular.

5           Presently, there are wireless Ethernet modems that provide several Mb/s or higher peak data rates for local environments. For example, see A.R. Nix, "Hiperlan Compatible Modulation and Equalisation Techniques - What are the real choices," ETSI RES-10 standard contribution RES-10TTG/93/78, December 1993. The feasibility of even higher data rates has been demonstrated by some wireless ATM hardware prototypes. Nevertheless, peak data rates of several Mb/s have not been demonstrated in wide-area cellular networks due to significant path loss and delay spread encountered in a cellular network environment. Furthermore, a high bandwidth requirement makes frequency reuse much more challenging in a cellular environment because the limited available spectrum cannot be easily divided into a large number of reuse channel sets.

10  
15           Recently, simulations of a modulation method employing Orthogonal Frequency Division Multiplexing (OFDM), antenna diversity and channel coding have shown promise for providing 1-2 Mb/s peak downlink rates in an ACIS environment. For example, see L.J. Cimini and N.R. Sollenberger, "OFDM with Diversity and Coding for High-Bit-Rate Mobile Data Applications," Proceedings, 3rd International Workshop on Mobile  
20   Multimedia Communications, Princeton, September 1996, paper # A.3.1.1. While a number of challenges remain and a hardware implementation of a low cost, robust modem is

difficult, the transmission technique appears to be feasible.

Among the useful attributes of existing approaches, interference sensing used by a measurement-based DCA approach and knowledge of preferred channels used by a channel segregation (CS) technique are advantageous for packet channel assignment.

5 Interference sensing enables base and/or mobile stations to determine potential interference before choosing a given channel and avoiding inter-cell collisions of packets that are delivered using the same radio channel. For an example an interference measurement-based DCA approach, see J. Chuang, "Performance Issues and Algorithms for Dynamic Channel Assignment," IEEE J. Select. Areas Comm., August 1993, pp. 955-963. Channel  
10 segregation divides a radio spectrum into different groups of preferred channels through a learning process, thus preventing adjacent radio transceivers from simultaneously using the same channels. Channel assignments are made robust even when interference sensing is too slow for detecting rapid variations in packet time scale. For details regarding the original channel segregation technique, see F. Furuya et al., "Channel Segregation, A Distributed  
15 Adaptive Channel Allocation Scheme for Mobile Communications Systems," Proceedings, 2nd Nordic Seminar on Digital Land Mobile Radio Communications, October 1986, also appearing in IEICE Trans., Vol. E74, June 1991, pp. 1531-1537.

The original CS algorithm increments a priority value of a channel if the channel is successfully assigned for use and does not experience interference after  
20 communications begin. After a period of initial trial-and-error, an entire system using the original CS algorithm autonomously forms a reasonable reuse plan. The original CS



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algorithm is a simple algorithm, but would be more effective if failures could be reduced during the learning phase.

Without introducing memory, measurement-based DCA using two-way interference sensing is effective for circuit access even with significant setup latency. For example, see J.C.-I. Chuang and N. Sollenberger, "Performance of Autonomous Dynamic Channel Assignment and Power Control for TDMA/FDMA Wireless Access," IEEE Journal on Selected Areas in Communications, special issue on Wireless and Mobile High Speed Communication Networks, October 1994, pp. 1314-1323. A small performance degradation experienced by such an approach is the result of a non-zero probability of "soft collisions" that occur because more than one packet from nearby base stations are delivered on the same channel. The higher the latency, the higher the collision probability as more newly selected links are "blind" until communications begin. For packet access, duty cycle of channel usage is shortened due to resource sharing among multiple mobiles. This results in higher interference fluctuation and more frequent channel assignment. Therefore, good averaging during measurement is more difficult to achieve and the latency effect is expected to be more pronounced. However, strong non-blind interferers should still be detectable (and thus be avoidable) with a fast measurement. For measurement-based DCA to be effective in packet access, it is thus important to either reduce measurement time and avoid undue setup latency and/or make the assignment robust to setup latency. To this end, selecting channels according to a priority order reduces the impact of soft collisions even though measurements are blind during setup latency. This is because priority orders for the neighboring base

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stations are likely to be different if a proper ranking/updating algorithm is employed for "segregating" channels during a learning process.

Examples of existing wide-area wireless packet data services include Advanced Radio Data Information Service (ARDIS), RAM Mobile Data, Cellular Digital Packet Data (CDPD) and second-generation digital cellular networks. These conventional services generally offer raw data rates lower than 28.8 kb/s and do not reuse spectrum efficiently for packet data. Typically, wireline-based data MAC protocols are enhanced by separate radio resource management functions that perform channel assignment.

CDPD provides packet access by using cellular infrastructure. For example, see Cellular Digital Packet Data, System Specification & Implementor Guidelines, CD-ROM, Release 1.1, January 19, 1995, CDPD Forum. A channel sniffing and hopping process is used for selecting idle voice channels for access. A DSMA/CD (Digital Sense Multiple Access/Collision Detection) protocol is then used for sending digital busy signals for intra-cell contention management. The basic concept is similar to the CSMA/CD (Carrier Sense Multiple Access/Collision Detection) protocol used in wireline-based LANs and the CSMA/CA (Carrier Sense Multiple Access/Collision Avoidance) protocol used in wireless LANs. No additional frequency reuse method is employed for managing inter-cell contention except for conventional frequency planning, such as is typically performed for voice services using fixed channel assignment (FCA) methods. Thus, the CDPD approach is not sufficient for ACIS applications because a large bandwidth for individual packet channels is used.

Similar to CDPD, both RAM and ARDIS use protocols that are similar to

CSMA for packet access contention. Unlike CDPD, though, RAM and ARDIS both have dedicated spectra and dedicated networks for packet data communications. For example, see RAM Mobile Data System Overview, July 1996, RAM Mobile Data. The frequency reuse aspect of the RAM MAC protocol is conventional FCA and, consequently, not efficient for the ACIS environment. The ARDIS approach is similar to RAM Mobile DATA in the services offered, but the ARDIS frequency reuse scheme focuses more on providing coverage by trading off capacity. At times, more than one base station is allowed to use the same channel for reducing coverage holes. Thus, the ARDIS approach is not efficient enough for the ACIS environment.

Recently, there are research and standardization efforts for the second- and third-generation digital cellular systems for providing packet data access. As previously mentioned, the second-generation system approaches usually aggregate several traffic servers for achieving high data rates and are not suitable for the ACIS environment. On the other hand, the third-generation systems and associated research activities, e.g., the European activities on ACTS (Advanced Communications Technologies and Services) are beginning to address high-rate packet access. For example, see IEEE Personal Communications Magazine, Special Issue on The European Path Towards UMTS., February 1995. Among initiatives of ACTS, the Advanced TDMA (ATDMA) system is of particular interest to the ACIS environment. A relatively mature proposal of the ATDMA MAC protocol is the PRMA++ protocol in which specific slots for reservation, fast paging and acknowledgement are included in every frame for performing reservation and release of packet traffic servers.

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Details are disclosed by J. Dunlop et al., "Performance of a Statistically Multiplexed Access Mechanism for a TDMA Radio Interface," *IEEE Personal Communications Magazine*, June 1995, pp. 56-64. The PRMA++ protocol enhances the original PRMA (Packet Reservation Multiple Access) disclosed by D. Goodman et al., "Packet Reservation Multiple Access for Local Wireless Communications," *IEEE Trans. on Commun.*, August 1989, pp. 885-890, by reducing or eliminating intra-cell traffic packet collisions and accelerating the setup process.

F. Borgonovo et al. disclose a similar approach by using slots with different functionalities. A hybrid polling and reservation scheme is introduced that further reduces contention of uplink traffic by allowing a base station to poll its active mobiles. See F. Borgonovo et al., "Capture-Division Packet Access for Wireless Personal Communications," *IEEE Journal on Selected Areas in Communications*, May 1996, pp. 609-622, and F. Borgonovo et al., "Capture-Division Packet Access: A New Cellular Access Architecture for Future PCNs," *IEEE Communications Magazines*, September 1996, pp. 154-162. Frequency reuse is achieved by capture effects and a flexible frame structure is adaptively formed based on the varying rates of polled users with different QoS requirements. The approach does not use a conventional TDMA frame structure and, consequently, was termed "Capture Division Packet Access (CDPA)." However, both PRMA++ and CDPA do not specify a detailed channel assignment algorithm and methods for effective frequency reuse in packet access remains an area of active research worldwide. For example, see M. Frullone et al., *supra*; A. Baiocchi et al., "The Geometric Dynamic Channel Allocation as a Practical Strategy in Mobile Networks with Bursty User Mobility," *IEEE Transactions on Vehicular*

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Technology, February 1995, pp. 14-23; A. Srivastava and J. Chuang, "Access Algorithms for Packetized Transmission in the presence of Co-channel Interference," IEEE VTC '96, Atlanta, GA, April 1996, pp. 121-125; A. Srivastava and J. Chuang, "Packetized Wireless Access for Data Traffic in Frequency Reuse Environments," Seventh International

5 Symposium on Personal Indoor Mobile Radio Communications (PIMRC), Taipei, Taiwan, October 1996, pp. 1150-1154; and T. Benker, "Dynamic Slot Allocation for TDMA-Systems with Packet Access," Multiaccess, Mobility and Teletraffic for Personal Communications, B. Jabbari, P. Godlewski and X. Lagrange (Editors), Kluwer Academic Publishers, 1996, pp. 103-116.

10 The Frullone et al. publication, supra, and both Srivastava et al. publications, supra, consider the effects of co-channel interference using the PRMA protocol and propose methods for trading signal quality for traffic throughput. Both groups of researchers considered choosing only a subset of available channels at a base station for contention, with a larger subset of available channels resulting in a better throughput with possible quality degradation than with a smaller subset of available channels. Frullone et al. employed  
15 channel segregation, initially proposed by Furuya et al., supra, for prioritizing all available channels through a learning process that is based on the probability of access success, that is, no hard collisions caused by intra-cell contention and no soft collisions caused by co-channel interference during a talkspurt. After a certain period of training time, adjacent base stations  
20 tend to have different sets of preferred channels, thus achieving adaptive frequency reuse. The Frullone et al. approach improves frequency reuse even under the rapidly changing

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interference of the packet access environments. Nevertheless, the disadvantage of this approach is that it is not easy to avoid interference during the learning process, i.e., bad channels are avoided only after failure occurs.

5        Srivastava et al., supra, propose that a base station broadcasts a subset of channels having the lowest interference for all associated mobile stations for contending access based on continuously sensing uplink interference. This is realized by associating with each channel a "permission probability." Highly interfered-with channels are given zero permission probability, thus preventing such channels from been used. Fuzzy logic is used for determining the permission probability based on the prevailing interference conditions and the number of idle slots at the base station. An access request is sent by a mobile station only when a locally generated random number is lower than the permission probability. This approach achieves good delay and throughput characteristics for both packet voice and data applications using a simple set of intuitive control rules. However, only the uplink access issue is addressed, which is less challenging in ACIS applications because of the asymmetrical uplink/downlink bandwidth requirements. Additionally, downlink transmission is assumed to be continuous for facilitating rapid access, possibly requiring more spectrum than that available in an ACIS environment because continuous downlinks represent 100% downlink spectral usage and results in high interference levels.

20        To improve signal quality on both links, J. Chuang, Performance Issues and Algorithms for Dynamic Channel Assignment," IEEE J. Select. Areas Comm., August 1993, pp. 955-963, discloses a two-way dynamic channel assignment algorithm for circuit-access

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environments. A pilot-based scheme and its frame structure were proposed for implementing this approach with low latency and no blind slots. Also see J.C.-I. Chuang et al., "A Pilot Based Dynamic Channel Assignment Scheme for Wireless Access TDMA/FDMA Systems," *The International Journal of Wireless Information Networks*, Vol. 1, No. 1, January 1944, pp. 37-48. Similar to the approach disclosed by Srivastiva et al., "Access Algorithms for Packetized Transmission in the presence of Co-channel Interference," IEEE VTC '96, Atlanta, GA, April 1996, pp. 121-125, the J.C.-I. Chuang et al. approach requires base stations to continuously monitor the uplink and broadcast lists of good idle channels on a control frequency, thereby avoiding continuous downlink transmission on the traffic channels. Upon receiving the good idle channel list, a mobile station measures interference on the candidate channels and selects a channel with sufficiently low interference, thus achieving good quality in both directions. This requires significant measurement and feedback transmission by the mobiles, but the advantages are significant. For the ACIS environment, however, it is not clear whether the required functionalities can be performed fast enough for taking full advantage of this approach. Furthermore, a control scheme must be devised for providing a mechanism for logical pairing or feedback for both directions, and which must be performed for typically asymmetric two-way traffic.

In spite of the advances already made toward overcoming the technical obstacles of ACIS, what is needed is a media access control protocol that provides bandwidth on demand and efficiently reuses a limited spectrum resource; that dynamically handles diverse traffic having high peak rates, providing high throughput and good quality of service;

and that performs channel assignment based on interference management.

### SUMMARY OF THE INVENTION

5 The present invention provides a media access control protocol that provides bandwidth on demand and efficiently reuses limited spectrum; that dynamically handles diverse traffic having high peak rates, providing high throughput and good quality of service; and that performs channel assignment based on interference management.

10 The advantages of the present invention are provided by a method and system for controlling media access in which a paging message is transmitted from a base station to a wireless station that can be either mobile or fixed, when a data packet is received for downlink transmission to the wireless station. The base station is one of a plurality of base stations and the wireless station communicates with the base station. In response to the paging message, a level of each of a plurality of pilot frequency signals is detected at the wireless station. Each pilot frequency signal corresponds to a downlink traffic channel and is transmitted by base stations to which the downlink traffic channel is assigned. The wireless station generates a list of preferred traffic channels based on a priority order of the downlink traffic channels for wireless stations communicating with the base station and on detected levels of the pilot frequency signals, and transmits the list to the associated base station. A downlink traffic channel is assigned for downlink transmitting the received data packet to the wireless station based on the list of preferred traffic channels. A channel list buffer at the base station updates a priority order list for wireless stations communicating with the base station.

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**BRIEF DESCRIPTION OF THE DRAWING**

The present invention is illustrated by way of example and not limitation in the accompanying figures in which like reference numerals indicate similar elements and in which:

5           Figure 1 shows a flow diagram of the overview of the basic assignment process according to the present invention;

Figure 2 shows a flow diagram of a process for scanning for available channels performed by a wireless station according to the present invention;

10           Figure 3 shows a frequency vs. time diagram of pilot frequencies and channels according to the present invention;

Figure 4 shows the ACIS frame structure according to the present invention from the point of view of the system base stations;

15           Figure 5 shows a plurality of wide area cells in which there are a number of base stations designated for transmitting paging information in the same timeslot according to the present invention;

Figure 6 shows the ACIS structure according the present invention from the point of view of a wireless station;

Figure 7 shows a functional block diagram of a base station according to the present invention;

20           Figure 8 shows a flow diagram for dynamic packet assignment for base station according to the present invention;

Figure 9 is a functional block diagram for dynamic packet assignment for a wireless station according to the present invention; and

Figure 10 shows a flow diagram for dynamic packet assignment for a wireless station according to the present invention.

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### DETAILED DESCRIPTION

To provide Internet access using existing wide-area cellular infrastructure with 1-2 Mb/s peak downlink rates, the present invention provides a Dynamic Channel Assignment (DCA) process that is performed when a downlink queue for a wireless station has data packets for delivery, but have not yet been assigned a radio channel for downlink transmission. The entire process of assigning channels and downloading packets is referred to herein as Dynamic Packet Assignment (DPA). Once a channel is assigned for downlink transmission of the data packets to the wireless station, the channel is reserved until all data packets in the queue are delivered, or until another DPA update is performed by a base station. Thus, the system overhead associated with frequent channel assignment processing is minimized.

According to the invention, a wireless station, such as a mobile station or a wireless terminal, performs interference measurements for determining acceptable channels, from the point of view of the wireless station, after the wireless station has been informed by a base station of pending data packets for delivery to the wireless station. The wireless station scans a pilot signal frequency band using a fast Fourier transform (FFT) technique for

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detecting pilot signals that respectively correspond to channels that are currently being used for downlink transmission. A feedback channel is then used for informing a base station of acceptable channels. To avoid more than one wireless station in the same cell from selecting the same acceptable channel, a list of more than one acceptable channel is provided by the wireless station.

An adaptive channel priority scheme is used at the base station for updating a channel priority order for the wireless station by swapping the priority positions of the channels determined to have acceptable quality with higher ranking channels failing the interference measurement test. This feature of the present invention allows preferred channels for a wireless station to be learned by the base station by employing "interference look-ahead" and thus avoiding bad channels having a high ranking in the priority order for the wireless station.

Figure 1 shows a flow diagram showing the overview of the basic measurement-based Dynamic Packet Assignment (DPA) process 10 for downlink transmission according to the present invention. Initially, the priority order of channels for downlink transmission for all wireless stations associated with a particular base station radio transmitter is randomly assigned. The priority order is a list of channels maintained at a base station that are scanned by wireless stations, whether mobile or fixed, that are associated with the base station when a mobile station determines the availability of downlink channels. When there are multiple radios at a base station, there are separate priority orders for each radio. The priority order is included in a paging message that is broadcast to all

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wireless station. When a wireless station (WS) receives a paging message from a base station (BS) indicating that the base station has received packets for downlink transmission to the wireless station, the wireless station scans pilot frequency signals at 11 for idle channels based on the priority order, starting with the channel having the highest priority ranking. A channel, as used herein, is defined to be a particular timeslot of a particular carrier frequency and is designated as (timeslot, carrier frequency).

The wireless station selects the first L acceptable channels and sends a feedback message to the base station providing the list of L acceptable channels for the wireless station. Upon receiving all acceptable channel lists from all wireless stations having a pending channel assignment, the base station assigns channels based on the received lists of acceptable channels and delivers the packets to all of the wireless stations by downlink packet transmission on the respectively assigned channels (step 12a), and simultaneously updates the channel priority order (step 12b). The channel priority order is updated at the base station by swapping relatively lower priority rankings of newly selected channels with relatively higher priority rankings of channels that were not selected by the wireless station. If multiple radios are used at a base station, a list is updated for each radio based on the measurements performed by all wireless stations using the same radio. In this manner, the base station "learns" the preferred channels for each radio with each update. While steps 12a and 12b are shown in what appears to be a sequential arrangement, steps 12a and 12b are actually performed in parallel, that is, the base station performs step 12b while step 12a is being performed. Dynamic Packet Assignment process 10 is continuously repeated as

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packets are received at the base station for downlink transmission to the wireless station.

Figure 2 shows a flow diagram of a process 20 that corresponds to step 11 of process 10 of Figure 1. Process 20 is performed by a wireless station when scanning for available channels. After a paging message is received indicating that there are packets for downlink transmission and indicating the priority order, an index variable  $j$  is initialized at step 21 and an FFT is performed on a pilot signal frequency band for generating a measured power for each pilot frequency signal of the pilot signal frequency band. According to the invention, the power measured for each respective pilot frequency signal corresponds to an interference level on the channel corresponding to the pilot frequency signal that is caused by downlink transmissions that are actively using the channel. Flow continues to step 22 where, the interference is measured on the downlink channel having priority order  $j$ . At step 23, it is determined whether the signal to interference ratio (SIR) measured for the channel having priority order  $j$  is greater than a predetermined threshold by comparing the power of the pilot frequency signal corresponding to channel  $j$  to a predetermined threshold. Preferably, the predetermined threshold is  $S/SIR_{\min}$ , where  $S$  is a desired signal power of a downlink transmission signal from the base station to the wireless station and is based on paging information described in connection with Figures 4 and 5.  $SIR_{\min}$  is the minimum acceptable signal to interference ratio for reliable downlink communications between a base station and a wireless station.

If the measured power of a particular pilot frequency signal is less than the predetermined threshold, flow continues to step 24 where the channel corresponding to the

particular pilot frequency signal is added to the list of acceptable channels. Flow continues to step 25 where it is determined whether the number of channels added to the list of acceptable channels equals a predetermined number  $L$ , or whether all channels have been scanned. If a total of  $L$  channels have not been selected or if all channels have not been scanned, flow continues to step 26 where index variable  $j$  is incremented, and then to step 23 where it is determined whether the SIR for the next channel having priority order  $j$  is greater than the predetermined threshold. When a total of  $L$  channels have been selected or when all the channels have been scanned, flow continues to step 27 where the scanning process is exited.

Figure 3 shows a frequency vs. time diagram of a pilot frequency band 30 and a traffic frequency band 31 according to the present invention. Pilot frequency band 30 includes a plurality of pilot frequency signals that are transmitted by base stations indicating that particular channels are assigned for downlink transmission of packets and are unavailable. Each pilot frequency signal is a narrowband signal and is spaced from other pilot frequency signals by about 10 kHz. Pilot frequency band 30 is about 1 MHz wide, so that in the arrangement shown in Figure 3, 100 pilot frequency signals can be accommodated. Each pilot frequency signal corresponds to a channel, that is, a particular timeslot of a particular traffic carrier frequency. While pilot frequency band 30 is shown as a continuous band of frequencies, several different frequency bands can be used. Traffic frequency band 31 includes a plurality of traffic carrier signals, each having a predetermined bandwidth. Figure 3 shows the case of 3 carrier frequencies ( $N = 3$ ). Traffic frequency

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band 31 may also be formed from a plurality of traffic carrier frequency bands that do not form a continuous band of frequencies, like that shown in Figure 3. Additionally, pilot frequency band 30 and traffic frequency band 31 are not required to be adjacent frequency bands, even though pilot frequency band 30 and traffic frequency are shown in Figure 3 as adjacent frequency bands.

When a packet is sent by a base station on the  $i$ th slot of the  $j$ th traffic carrier frequency, the base station simultaneously transmits continuous (that is, during all time slots) on the  $[N(i - 1) + j]$ th pilot frequency where  $N$  is the number of traffic carrier frequencies. Pilot frequency signals transmitted by base station BS1 are shown in Figure 3 by a narrow line like that indicated by line 33. Pilot frequency signals transmitted by base station BS2 are shown in Figure 3 by a line having a medium width like that indicated by line 34, while pilot frequency signals transmitted by base station BS3 are shown by a thick line like that indicated by line 35. Pilot frequency signals are transmitted continuously for the duration of a frame in which the corresponding channel is being used for downlink transmission.

Traffic frequency band 31 includes a plurality of traffic carriers 31a, 31b and 31c. It should be understood that any number of traffic carriers 31 can be used. Each traffic carrier preferably has a bandwidth of at least 1 MHz and includes a frame structure having a plurality of timeslots 1 through  $M$ . When a base station has data packets for downlink transmission to a wireless station, a channel is dynamically assigned to the base station-wireless station pair. In Figure 3, base stations BS1, BS2 and BS3, are depicted using various downlink channels represented in Figure 3. While only three base stations are

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indicated in Figure 3, the present invention is not so limited. That is, a system incorporating the present invention can have any number of base stations and wireless stations. When a burst of new packets are pending at base station BS1, for example, that are to be delivered to a wireless station, the wireless station can simply scan the pilot frequencies to find a set of channels having an acceptable SIR using the procedure detailed in connection with Figure 2. A list of acceptable channels is sent to base station BS1 and base station BS1 selects the traffic channel for delivering the packets based on the procedure described in connection with Figure 1.

When a channel is in use, the base station using the channel transmits the corresponding pilot frequency signal. For example, if a particular base station is using the  $i$ th time slot of the  $j$ th carrier frequency, that base station transmits the pilot frequency signal corresponding to the  $i$ th time slot for the  $j$ th carrier frequency. That is, a base station using the  $i$ th time slot of the  $j$ th carrier frequency for downlink transmission transmits pilot frequency  $[N(i - 1) + j]$ . Other mapping definitions or algorithms can be used for defining a one-to-one correspondence of channels to pilot frequencies. Each base station transmits the pilot frequency signals corresponding to each channel used by the base station so that wireless stations for which new packets are pending can rapidly scan for potentially available channels. In Figure 3, channel 32 (timeslot 2, carrier frequency 31c) is shown as available. Channels that are used are indicated in Figure 3 by a base station designator number appearing within the channel. Additionally, the base station indicated as using a particular channel transmits a corresponding pilot frequency. The pilot frequency signal for channel 32



is not transmitted because channel 32 is depicted as not being used for downlink transmission.

In Figure 3, pilot frequency signal 34a corresponds to channel (timeslot 4, traffic carrier 31c) which has been dynamically assigned to base station 2 for delivering packets to a wireless station. Similarly, pilot frequency signal 34a, indicated as a line having a medium thickness, represents the fact that channel (timeslot 2, traffic carrier 31b) is also used by base station BS2 to deliver packets, possibly to another wireless station. The fact that channel 32 (timeslot 2, traffic carrier 31c) is available is indicated by the lack of a pilot frequency signal being transmitted at 37. Pilot frequency signal 35a corresponds to channel (timeslot 1, traffic carrier 31a).

Figure 4 shows the ACIS frame structure as used by the present invention. One superframe preferably includes 4 frames, but can include any number of frames K. Each frame is preferably 18 ms in duration. Each traffic carrier (Figure 3) has the frame structure shown in Figure 4. The specific frame structure shown in Figure 4 shows a staggered schedule for dynamic packet assignment among neighboring base stations. The staggered frame structure is similar to a conventional token-passing arrangement in that one base station at a time is able to perform the DPA process in a small geographical area. Tokens are not specifically passed between base stations because a centralized coordination of base stations is not required by the present invention. Instead, the present invention only requires time synchronization among base stations. Similar to conventional frequency reuse concepts, a plurality of base stations can perform dynamic packet assignment simultaneously

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as long as they are sufficiently physically separated. This ensures that any wireless station performing interference sensing can detect all used channels in its vicinity without any blind spots, thereby avoiding any co-channel interference (inter-base collision) once the assigned channel is used to deliver pending packets. Furthermore, since the assignment is performed once every superframe, the assigned channel is updated each superframe and, thus, the degradation as time progresses is bounded if the superframe duration is selected to be reasonably short. This approach provides the flexibility of assigning more than one timeslot to a wireless station for providing a throughput in accordance with a QoS requirement.

During timeslot 1, which is a Dynamic Packet Assignment (DPA) frame for base station BS1, in which the channels are assigned for packets to be delivered during the next superframe, base station BS1 turns off pilot frequency signals corresponding to the traffic channels currently being used by base station BS1. The pilot frequency signals are turned off for the entire frame so that the wireless stations associated with base station BS1 can monitor pilot frequency signals generated by other base stations. Base station BS1 transmits paging information during timeslot 1 alerting the wireless stations for which the packets received from the network are to be directed. During timeslot 2 through the last timeslot of the frame (timeslot M), base station BS1 transmits data packets over channels that have been previously assigned (Figure 3) for the current time frame. The control information for the DPA process for new packets can be transmitted on a specific control frequency. The base station can be equipped with a separate transceiver for handling control information to assign channels for the next superframe over a dedicated control channel while

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continuing to deliver packets on previously assigned channels for the current superframe using the regular transmitter. The paging information is also used for informing a particular wireless station which specific timeslot to use for sending the preferred channel list back to base station BS1 and of the priority order for the wireless station. For example, in Figure.

5 4, the preferred list from a particular wireless station ("wireless #1") is received during timeslot J. Base station BS1 coordinates the schedule for feedback information using the paging information to avoid collisions with other wireless stations. During timeslot I, which is a timeslot occurring after all feedback information has been received by base station BS1, base station BS1 transmits assigned channels for the next superframe. A channel remains  
10 assigned until all the received data packets for a particular wireless station have been transmitted, or until DPA updating for the next superframe.

During frame 2 of the superframe structure shown in Figure 4, base station BS2 performs the same DPA functions that base station BS1 performed during frame 1. However, from the point of view of base station BS2, base station BS2 performs the DPA  
15 functions during frame 1 of a superframe that is associated with base station BS2 and is staggered, or offset, from the superframe shown in Figure 4 by 1 frame. That is, according to the invention, the DPA functions are scheduled to occur during frame 1 of a superframe. There are a plurality of superframes that are staggered from one another by 1 frame.

During timeslot 1 of frame 2 of the superframe structure shown in Figure 4,  
20 base station BS2 turns off pilot frequency signals corresponding to traffic channels currently being used by base station BS2. Base station BS2 transmits paging information during

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timeslot 1 of frame 2 to wireless stations for which the packets are pending. During timeslots 2 through the last timeslot of the frame (timeslot M), base station BS2 transmits data packets over channels that have been previously assigned for the current superframe (associated with base station BS2). In the paging information transmitted by base station BS2, a particular wireless station (wireless #2) is informed which specific timeslot to send the preferred channel list back to base station BS2 and of the priority order for the wireless station. For example, the paging information transmitted by base station BS2 informs wireless #2 to transmit the preferred channel list during timeslot J-1 and the current priority order for wireless #2. During timeslot J, base station BS2 receives preferred channel lists from wireless #2. Like base station BS1, base station BS2 coordinates the schedule for feedback information using the paging information to avoid collisions with other wireless stations. During timeslot I, which is a timeslot occurring after all feedback information has been received by base station BS2, base station BS2 transmits assigned channels for the next superframe for base station BS2.

This process continues for base station BS3 and so on until all K neighboring base stations in a cluster have been accounted for. In each case, each respective base station performs DPA functions during frame 1 of a superframe associated with the base station. A plurality of geographically separated clusters are formed by different clusters of K neighboring base stations. According to the invention, a number of selected base stations transmit paging information during any given frame. The base stations are selected to be sufficiently geographically separated so that downlink control signals do not significantly

interfere with one another. Figure 5 shows an exemplary arrangement ( $K = 7$ ) of a plurality of wide area cells 50 in which there are a number of base stations designated to be base station BS1, base station BS2, etc. While Figure 5 shows wide area cells 50, the present invention is also applicable for when cells 50 are PCS cells in a personal communications system (PCS) network.

Figure 6 shows the ACIS structure according to the present invention from the point of view of a wireless station, for example, wireless #1 described in connection with Figure 4. During timeslot 1, wireless #1 receives paging information from a base station over the control traffic carrier indicating that the base station has packets for downlink transmission to wireless #1. Wireless #1 measures the pilots frequency signals within the pilot frequency band and generates a preferred channel list. During timeslot J-1, wireless #1 transmits the preferred channel list over the control traffic carrier. The base station associated with the wireless station receives the preferred channel list during timeslot J. Timeslot J at wireless #1 is defined to be later in time than slot J-1 at base station BS1. During timeslot I, the wireless #1 receives the channel assignment over the control traffic carrier that has been broadcast by the associated base station during timeslot I. In this case, wireless #1 is assigned to receive packet on channel (timeslot T, carrier frequency F). During the next frame (and all subsequent frames of the same superframe), wireless #1 receives a transmitted packet in the assigned channel.

Figure 7 shows a functional block diagram of a base station BS for performing downlink functions according to the present invention. Base station BS includes an antenna

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70, a transceiver 71 having a frequency synthesizer 72, user-packet buffers 73, a network interface 74, a pilot processor 75, a synchronizer and framer 76, an assignment message assembler 77, a paging message assembler 78, a channel list buffer 79 and a packet assignment processor 80. Base station BS is connected to telecommunications network N  
5 through network interface 74 and receives packets through network interface 74 for downlink transmission to wireless stations. The received packets are stored in a well-known manner in user-packet buffers 73. In response to receipt of packets for downlink transmission to a wireless station, paging message assembler 78 generates a paging message for the wireless station(s) to which the received packet(s) are to be transmitted. Paging message assembler  
10 78 is synchronized by synchronizer and framer 76 so that the paging message is transmitted by transceiver 71 during the appropriate timeslot in the control traffic carrier.

Transceiver 71 receives preferred channel lists from wireless stations that have been paged. The preferred channel lists are stored in channel list buffer 79. In response, packet assignment processor 80 selects a preferred channel for each wireless station for  
15 downlink transmission of received packets. Information relating to the selected preferred channel for each wireless station is sent to frequency synthesizer 72, pilot processor 75, and assignment message assembler 77. Assignment message assembler 77 assembles a channel assignment message. Transceiver 71 transmits the channel assignment message during the appropriate timeslot in the control traffic carrier, as controlled by synchronizer and framer  
20 76. During the frame in which the packet is transmitted, pilot processor 75 causes transceiver 71 to transmit a pilot frequency corresponding to the assigned channel.

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Additionally, the received packets are sent from user-packet buffer 73 to transceiver 71 for downlink transmission to the wireless station. Frequency synthesizer 72 generates an appropriate carrier frequency for the channel assignment and for the pilot frequency.

Figure 8 shows a flow diagram for dynamic packet assignment for a base station according to the present invention. At step 800, it is determined whether it is a Dynamic Packet Assignment (DPA) frame for the base station. If it is not a DPA frame, flow continues to step 801 where packets that were scheduled during the previous superframe are delivered over their assigned channels. If it is a DPA frame, the pilot frequencies that correspond to channels that are assigned for the current frame are turned off at step 802 for the entire frame. If there are packets to be delivered during the DPA frame using the preassigned channel, flow continues to step 801 where packets that were scheduled during the previous frame are delivered over their assigned channels. Flow continues to step 803 where a paging message is generated and sent during timeslot 1 of the current control traffic frame for informing wireless stations of pending traffic that is to be downlinked during the next superframe. Flow continues to step 804, where it is determined at step 805 whether it is the appropriate timeslot for receiving the preferred channel list from each wireless station that was paged. If it is not an appropriate timeslot, flow continues until it is an appropriate timeslot. When it is an appropriate timeslot, a received preferred channel list is stored at step 806. When all lists have been received and stored, dynamic channel assignment is performed at step 807 based on the preferred channel list for each wireless station for which packets are pending. At step 808, the assigned channels are broadcast. At step 809, it is

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determined whether it is the start of a new frame. If so, flow continues to steps 810 and 801 where appropriate pilot frequencies are transmitted corresponding to the assigned channels and scheduled packets are delivered.

Figure 9 is a functional block diagram for dynamic packet assignment for a wireless station WS according to the present invention. Wireless station WS can be mobile or fixed. Wireless station WS includes an antenna 90, a transceiver 91 having a frequency synthesizer 92, a user-packet buffer 93, a user interface 94, an FFT-based pilot scanner 95, a synchronizer and framer 96, an assignment message disassembler 97, a paging message disassembler 98, a channel list message assembler 99 and a channel selection processor 100. When wireless station WS receives a paging message from a base station BS indicating that the wireless station has packets that are pending, the paging message is disassembled by paging message disassembler 98. The disassembled paging message causes FFT-based pilot scanner 96 to perform an FFT on the pilot frequency band for determining which channels are available. The results of the FFT are sent to the channel selection processor 100 which selects preferred channels based on the relative magnitude of detected pilot frequencies. If a pilot frequency is detected to be below a predetermined threshold, then channel selection processor determines that the channel corresponding to the particular pilot frequency is available and the particular pilot frequency is added to the preferred channel list.

Preferred channels are sent to channel list message assembler 99. The preferred channel list is then transmitted to the base station by transceiver 91 under control of the synchronizer and framer 96. The channel assignment message transmitted by the base



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station is received by the wireless station and disassembled by assignment message disassembler 97. The contents of the channel assignment message are used for controlling frequency synthesizer 92, and by synchronizer and framer 96 for controlling transceiver 91 and user-packet buffer for receiving packets transmitted in the assigned channel.

5                   Figure 10 shows a flow diagram for dynamic packet assignment for a wireless station according to the present invention. At step 1000, it is determined whether the current frame is a dynamic packet assignment (DPA) frame. If not, flow continues to step 1002 where any pending packets that have been preassigned are received over a preassigned channel. Flow continues back to step 1000. If it is determined that the current frame is a  
10                   DPA frame, flow continues to step 1001 where it is determined whether the current slot is a paging slot. If not, flow continues to step 1002. If it is determined that the current slot is a paging slot, flow continues to step 1003 where paging information is received at the wireless station.

                  Flow continues to step 1004 where it is determined whether the received  
15                   paging information indicates that there are packets pending for the wireless station for the next superframe. If the paging information indicates that there are no packets for the wireless station, flow continues to step 1005, where it is determined whether the pending traffic is scheduled for the current superframe. If not, flow continues to step 1000. If the pending traffic is for the current superframe, flow continues to step 1011 where the  
20                   frequency synthesizer is retuned for receiving the packets.

                  If there are packets for delivery to the wireless station for the next superframe,

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flow continues to step 1006 where the wireless station scans the pilot frequencies and selects a list of preferred channels. At step 1007, it is determined whether it is the proper timeslot for sending the preferred channel list to the base station. When it is the proper timeslot, flow continues to step 1008 where the preferred channel list is sent. At step 1009, the  
5 channel assignment is received from the base station for the pending packets. At step 1010, it is determined whether it is the start of the next frame. At the start of the next frame, flow continues to step 1011 where the frequency synthesizer is retuned for receiving the packets. Flow continues to step 1002 where the packets are received by the wireless station.

While the present invention has been described in connection with the  
10 illustrated embodiments, it will be appreciated and understood that modifications may be made without departing from the true spirit and scope of the invention.

THE CLAIMS

What is claimed is:

1           1.     A method for controlling media access in a wireless telecommunications  
2 network, the telecommunications network including a plurality of base stations and at least  
3 one wireless station that is communicating with a base station of the plurality of base  
4 stations, the method comprising the steps of:

5                 receiving a paging message at the wireless station when a data packet is  
6 pending for downlink transmission to the wireless station;

7                 detecting a level of each of a plurality of pilot frequency signals at the wireless  
8 station, each pilot frequency signal corresponding to a downlink traffic channel and being  
9 transmitted by each base station of the plurality of base stations to which the downlink traffic  
10 channel is assigned;

11                generating a list of preferred traffic channels based on detected levels of the  
12 pilot frequency signals; and

13                transmitting the list of preferred traffic channels to the base station.

1           2.     The method according to claim 1, where the step of detecting the level of each  
2 of the plurality of pilot frequency signals is based on a fast Fourier transform performed on  
3 the plurality of pilot frequency signals.

1           3.     The method according to claim 2, further comprising the steps of:

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2 receiving a downlink traffic channel assignment for the wireless station at the  
3 wireless station; and  
4 receiving the data packet at the wireless station using the assigned downlink  
5 traffic channel.

1 4. The method according to claim 3, wherein the step of generating the list of  
2 preferred traffic channels is performed at the wireless station and includes the step of  
3 determining the list of preferred traffic channels according to a priority order.

1 5. The method according to claim 3, wherein each downlink traffic channel  
2 includes a timeslot and a traffic carrier frequency.

1 6. The method according to claim 5, wherein the paging message is received  
2 during a first predetermined timeslot of a frame having a plurality of timeslots, and  
3 wherein the list of preferred traffic channels is transmitted during a second  
4 predetermined timeslot of the frame.

1 7. The method according to claim 6, wherein the frame is one of a predetermined  
2 number of frames in a superframe.

1 8. The method according to claim 7, wherein the wireless station is a mobile

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station.

9. The method according to claim 7, wherein the wireless station is a fixed station.

10. A method for controlling media access in a wireless telecommunications network, the telecommunications network including a plurality of base stations and at least one wireless station that is communicating with a base station of the plurality of base stations, the method comprising the steps of:

transmitting a paging message to the wireless station when a data packet is pending for downlink transmission from the base station to the wireless station; and

receiving a list of preferred traffic channels for the wireless station at the base station.

11. The method according to claim 10, further comprising the step of assigning a downlink traffic channel for downlink transmitting the received data packet to the wireless station based on the list of preferred traffic channels.

12. The method according to claim 11, wherein the step of assigning the downlink traffic channel is based on a list of preferred traffic channels received from each mobile station associated with the base station and having packets pending for downlink

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transmission.

13. The method according to claim 12, further comprising the steps of:  
transmitting the assigned downlink traffic channel to the wireless station;  
transmitting the data packet from the base station to the wireless station using  
the assigned downlink traffic channel; and  
transmitting a pilot frequency signal corresponding to the assigned downlink  
traffic channel.

14. The method according to claim 13, further comprising the steps of:  
generating a preferred traffic channel priority order list for the wireless station  
at the base station; and  
updating the preferred traffic channel priority order list at the base station  
based on the list of preferred traffic channels transmitted to the base station.

15. The method according to claim 14, wherein the channel priority order list is  
for all wireless stations communicating with the base station.

16. The method according to claim 14, wherein each downlink traffic channel  
includes a timeslot and a traffic carrier frequency.

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1           17.    The method according to claim 14, wherein the paging message is transmitted  
2 during a first predetermined timeslot of a frame having a plurality of timeslots, and  
3                    wherein the list of preferred traffic channels is received during a second  
4 predetermined timeslot of the frame.

1           18.    The method according to claim 17, wherein the frame is one of a  
2 predetermined number of frames in a superframe,  
3                    wherein the plurality of base stations are grouped into the predetermined  
4 number of groups, each group of base stations being associated with a frame of the  
5 superframe, and

6                    wherein the steps of the method are performed by the base stations of a group  
7 of base stations during the frame associated with the group.

1           19.    The method according to claim 18, wherein the base stations of each group of  
2 base stations are geographically separated from other base stations of the group for  
3 minimizing co-channel interference between base stations of the group.

1           20.    The method according to claim 19, wherein the wireless station is a mobile  
2 station.

1           21.    The method according to claim 19, wherein the wireless station is a fixed station.

1           22.    A wireless packet communication system, comprising:  
2                   a plurality of wireless stations; and  
3                   a plurality of base stations connected to a telecommunications network, each  
4   base station transmitting a paging message to a wireless station of the plurality of wireless  
5   stations associated with the base station when the base station receives a data packet for  
6   downlink transmission to the wireless station, the base station transmitting a pilot frequency  
7   signal corresponding to a downlink traffic channel when the base station transmits the data  
8   packet to the wireless station using the downlink traffic channel, the downlink traffic channel  
9   being one of a plurality of downlink traffic channels, and the pilot frequency signal being one  
10   of a plurality of pilot frequency signals respectively corresponding to the downlink traffic  
11   channels;

12                   the wireless station detecting a level of a plurality of the pilot frequency  
13   signals in response to receiving the paging message, generating a list of preferred traffic  
14   channels based on detected levels of the pilot frequency signals.

1           23.    The system according to claim 22, wherein the wireless station transmits the  
2   list of preferred traffic channels to the base station.

1           24.    The system according to claim 23, wherein the base station assigns the  
2   downlink traffic channel for downlink transmitting the received data packet to the wireless  
3   station based on the list of preferred traffic channels.



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1           25.    The system according to claim 24, wherein the base station transmits the  
2           assigned downlink traffic channel to the wireless station, transmits the data packet to the  
3           wireless station using the assigned downlink traffic channel, and transmits the pilot frequency  
4           signal corresponding to the assigned downlink traffic channel.

1           26.    The system according to claim 25, wherein the wireless station arranges the  
2           list of preferred traffic channels in a priority order when the list of preferred traffic channels  
3           is generated.

1           27.    The system according to claim 26, wherein the base station generates a  
2           preferred traffic channel priority order list for all wireless stations communicating with the  
3           base station, and updating the preferred traffic channel priority order list based on the list of  
4           preferred traffic channels transmitted to the base station.

1           28.    The system according to claim 25, wherein each downlink traffic channel  
2           includes a timeslot and a traffic carrier frequency.

1           29.    The system according to claim 28, wherein base station transmits the paging  
2           message during a first predetermined timeslot of a frame having a plurality of timeslots, and  
3                    wherein the wireless station transmits the list of preferred traffic channels  
4           during a second predetermined timeslot of the frame.

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1           30.    The system according to claim 29, wherein the frame is one of a  
2 predetermined number of frames in a superframe,

3                   wherein the plurality of base stations are grouped into the predetermined  
4 number of groups, each group of base stations being associated with a frame of the  
5 superframe, and

6                   wherein the base stations of a group of base stations transmit paging messages  
7 during the frame associated with the group when a base station of the group has a data packet  
8 for downlink transmission for a wireless station.

1           31.    The system according to claim 30, wherein the base stations of each group of  
2 base stations are geographically separated from other base stations of the group for  
3 minimizing co-channel interference between base stations of the group.

1           32.    The system according to claim 31, wherein the wireless station is a mobile  
2 station.

1           33.    The system according to claim 31, wherein the wireless station is a fixed  
2 station.

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1           34.    A wireless station comprising:  
2                   a receiver receiving a paging message, the paging message indicating that a  
3                   data packet is pending for downlink transmission to the wireless station;  
4                   a pilot frequency signal scanner scanning a pilot frequency band in response to  
5                   the paging message for determining whether any downlink channels are available for  
6                   downlink transmitting the data packet to the wireless station, the pilot frequency band having  
7                   pilot frequency signals, each pilot frequency signal corresponding to a downlink channel; and  
8                   a transmitter transmitting a message indicating available downlink channels for  
9                   downlink transmitting the data packet.

1           35.    The wireless station according to claim 34, further comprising a channel  
2                   selection processor selecting available downlink channels based on detected levels of pilot  
3                   frequency signals.

1           36.    The wireless station according to claim 35, wherein the pilot frequency signal  
2                   scanner uses a fast Fourier transform for scanning the pilot frequency band.

1           37.    The wireless station according to claim 36, wherein the receiver further  
2                   receives an assignment message, the assignment containing a downlink channel assignment in  
3                   which the data packet will be downlink transmitted.

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1           38.    The wireless station according to claim 37, wherein the channel selection  
2   processor selects available downlink channels further based on a priority ordering of selected  
3   downlink channels.

1           39.    The wireless station according to claim 38, wherein the paging message further  
2   includes information relating to a priority ordering of downlink channels for the wireless  
3   station.

1           40.    A base station comprising:  
2                   a transmitter transmitting a paging message to a wireless station when a data  
3   packet is pending for downlink transmission from the base station to the wireless station, the  
4   paging message including information relating to the data packet pending for downlink  
5   transmission; and  
6                   a receiver receiving a list of preferred traffic channels for the wireless station  
7   for downlink transmission of the data packet.

1           41.    The base station according to claim 40, further comprising an assignment  
2   message assembler generating an assignment message based on the list of preferred traffic  
3   channels, the assignment message including information relating to a downlink traffic channel  
4   assigned to the wireless station for downlink transmitting the data packet to the wireless  
5   station, and

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6 wherein the transmitter transmits the assignment message to the wireless  
7 station.

1 42. The base station according to claim 41, wherein the transmitter downlink  
2 transmits the data packet to the wireless station using the assigned downlink traffic channel.

1 43. The base station according to claim 42, wherein the transmitter transmits a  
2 pilot frequency signal when the data packet is downlink transmitted to the mobile station, the  
3 pilot frequency signal corresponding to the assigned downlink traffic channel.

1 44. The base station according to claim 43, wherein the wireless station is a  
2 mobile station.

1 45. The base station according to claim 43, wherein the wireless station is a fixed  
2 station.  
3

1 46. The base station according to claim 43, wherein the wireless station is one of a  
2 plurality of wireless stations communicating with the base station,  
3 wherein the paging message includes information for each mobile station of the  
4 plurality of mobile stations having a data packet pending for downlink transmission from the  
5 base station, and

6                    wherein the receiver receives a list of preferred traffic channels from each  
7                    wireless station having a pending data packet.

1                    47.    The base station according to claim 46, wherein the assignment message  
2                    assembler generates the assignment message based on all lists of preferred traffic channels  
3                    received from mobile stations having a pending data packet, the assignment message  
4                    including information relating to a downlink traffic channel assigned to each respective  
5                    wireless station having a pending data packet, and

6                    wherein the transmitter transmits the assignment message to the mobile stations  
7                    having a pending data packet.

1                    48.    The base station according to claim 47, wherein the transmitter transmits each  
2                    respective data packet using the downlink traffic channel assigned to mobile station for which  
3                    the data packet is pending and transmits a pilot frequency signal corresponding to each  
4                    assigned downlink traffic channel used for downlink transmitting each respective pending  
5                    data packet.

1                    49.    The base station according to claim 48, further comprising a channel list buffer  
2                    storing a preferred traffic channel priority order list for the plurality of wireless stations, the  
3                    channel list buffer updating the preferred traffic channel priority order list based on all lists  
4                    of preferred traffic channels received from the mobile stations having a pending data packet.

1           50.    The base station according to claim 49, wherein each downlink traffic channel  
2 includes a timeslot and a traffic carrier frequency.

1           51.    The base station according to claim 50, wherein the transmitter transmits the  
2 paging message during a first predetermined timeslot of a frame having a plurality of  
3 timeslots, and

4                   wherein the receiver receives the list of preferred traffic channels during a  
5 second predetermined timeslot of the frame.

6           52.    The base station according to claim 51,  
7                   wherein the frame is one of a predetermined number of frames in a  
8 superframe,

9                   wherein the base station is one of a plurality of base stations being grouped  
10 into the predetermined number of groups, each group of base stations being associated with a  
11 frame of the superframe, and

12                   wherein the transmitter of each respective base station transmits the paging  
13 message during the frame associated with the group.

1           53.    The base station according to claim 52, wherein the base stations of each  
2 group of base stations are geographically separated from other base stations of the group for  
3 minimizing co-channel interference between base stations of the group.

**ABSTRACT OF THE DISCLOSURE**

A method and system for controlling media access in which a paging message is transmitted from a base station to a wireless station when a data packet is received for downlink transmission to the wireless station. The base station is one of a plurality of base stations and the wireless station is associated with the base station. In response to the paging message, a level of each of a plurality of pilot frequency signals is detected at the wireless station. Each pilot frequency corresponds to a downlink traffic channel and is transmitted by base stations to which the downlink traffic channel is assigned. The wireless station generates a list of preferred traffic channels based on a priority order of traffic channels and on detected levels of the pilot frequency signals, and transmits the list to the associated base station. A downlink traffic channel is assigned for downlink transmitting the received data packet to the wireless station based on the list of preferred traffic channels and updates a channel priority order list at the base station.



FIG. 1

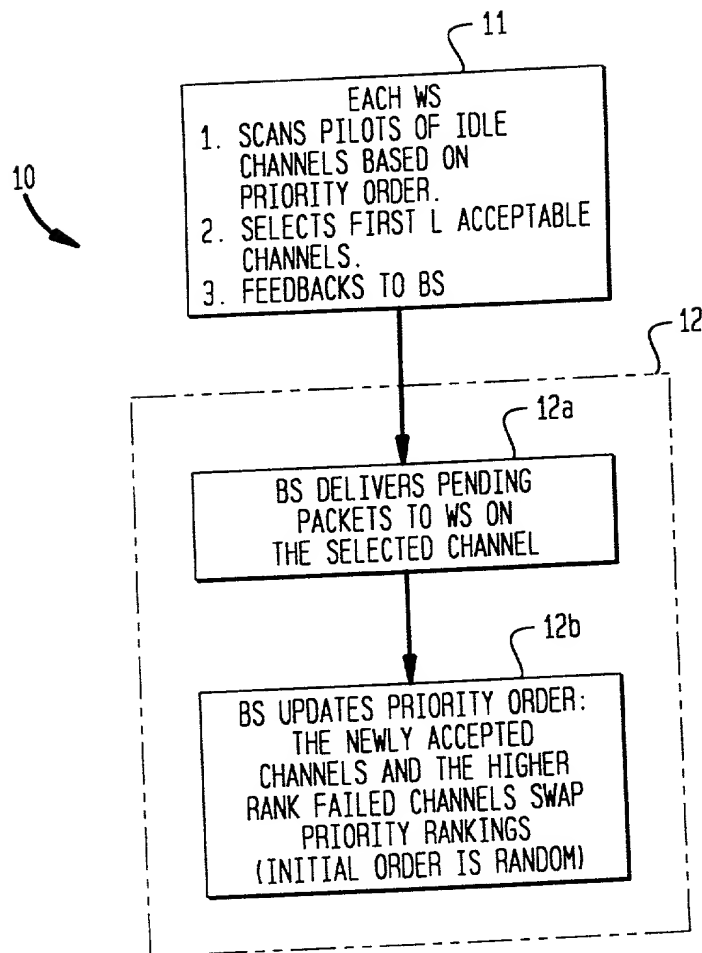


FIG. 2

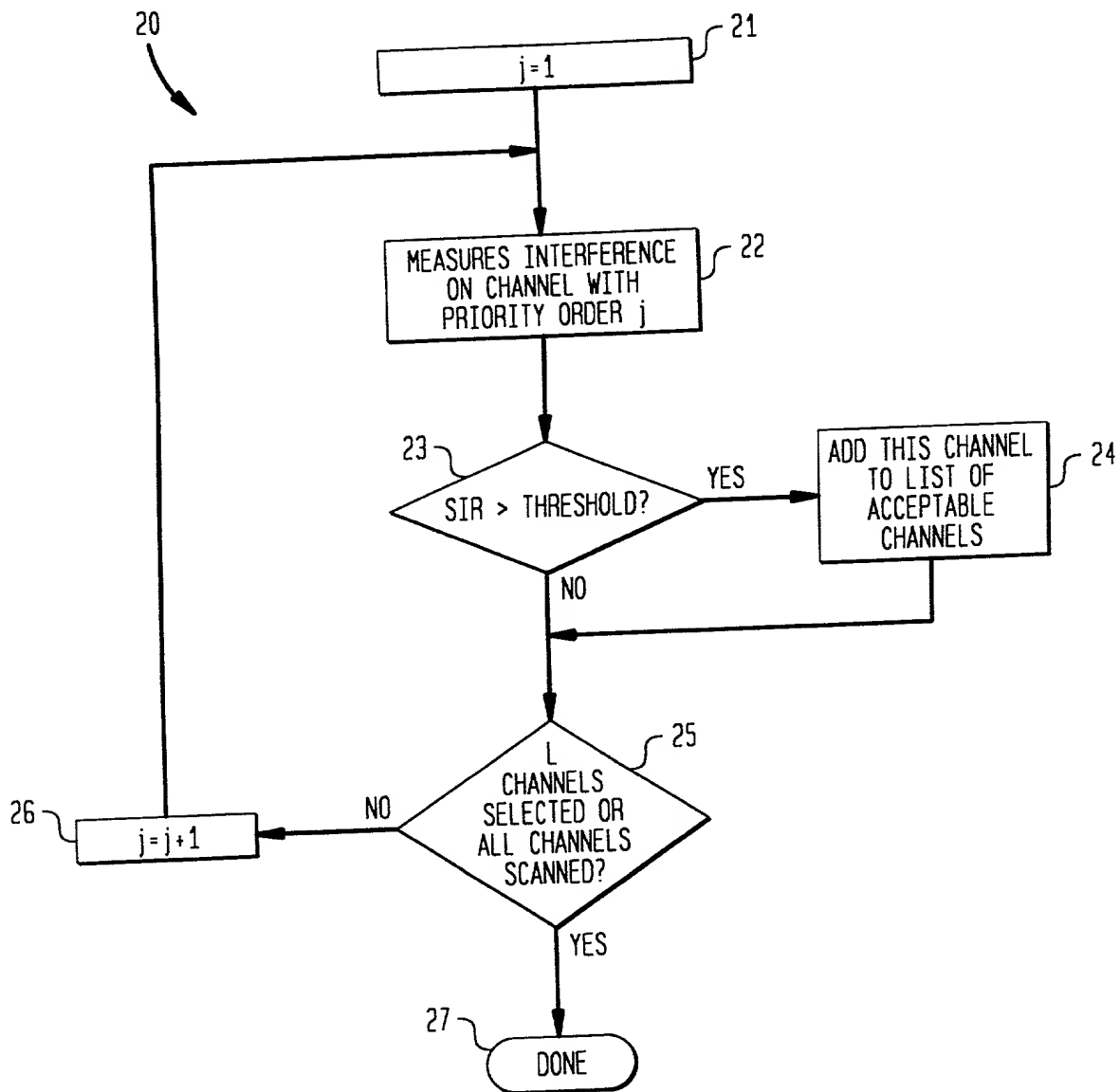


FIG. 3

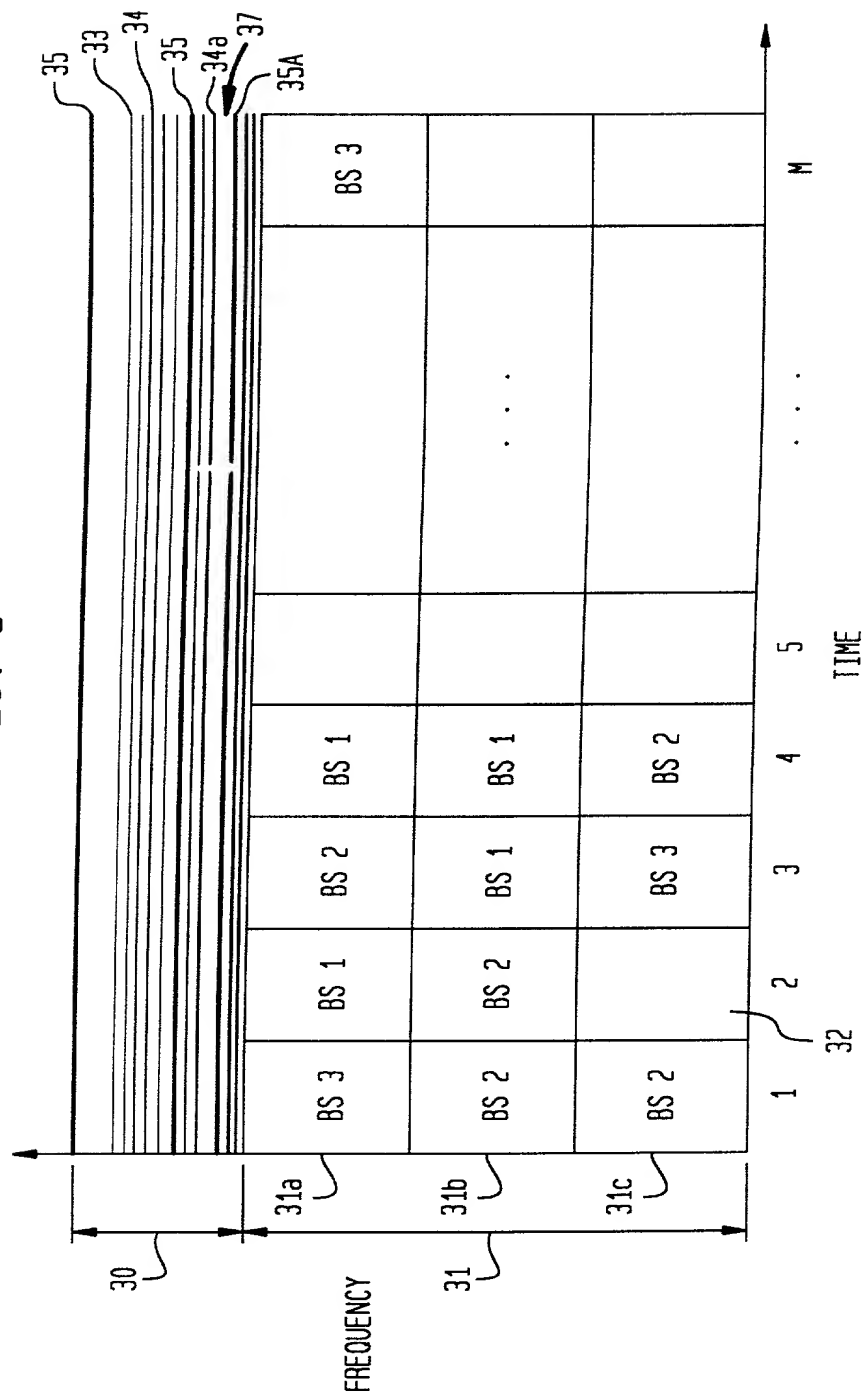


FIG. 4

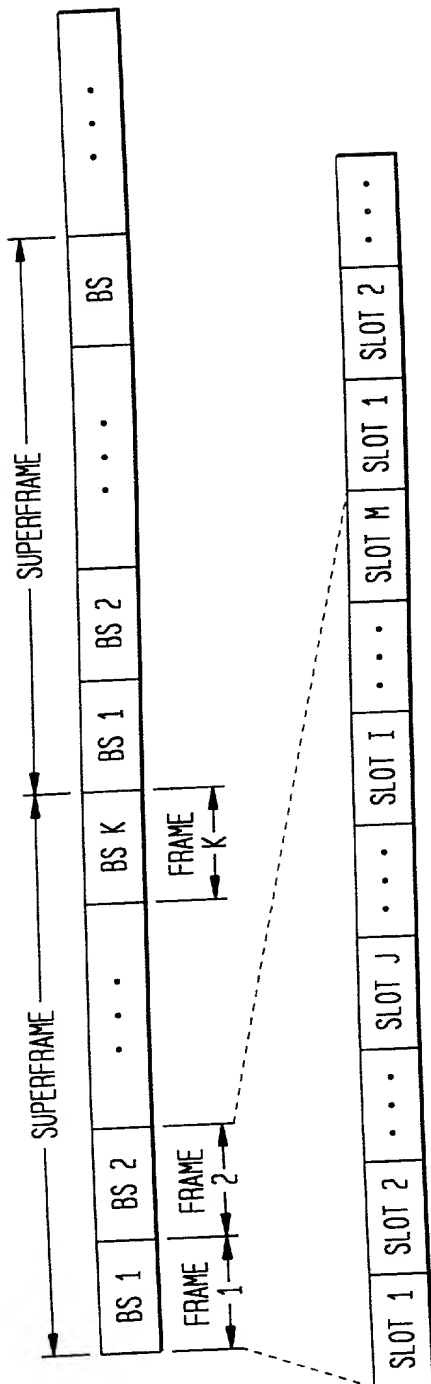


FIG. 6

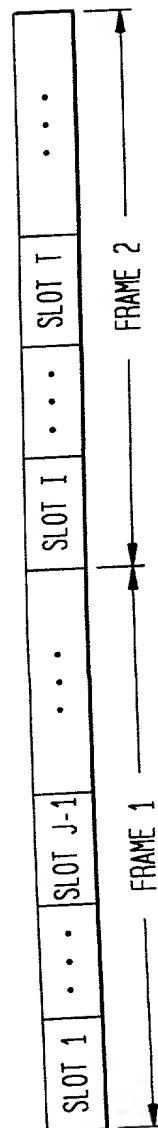
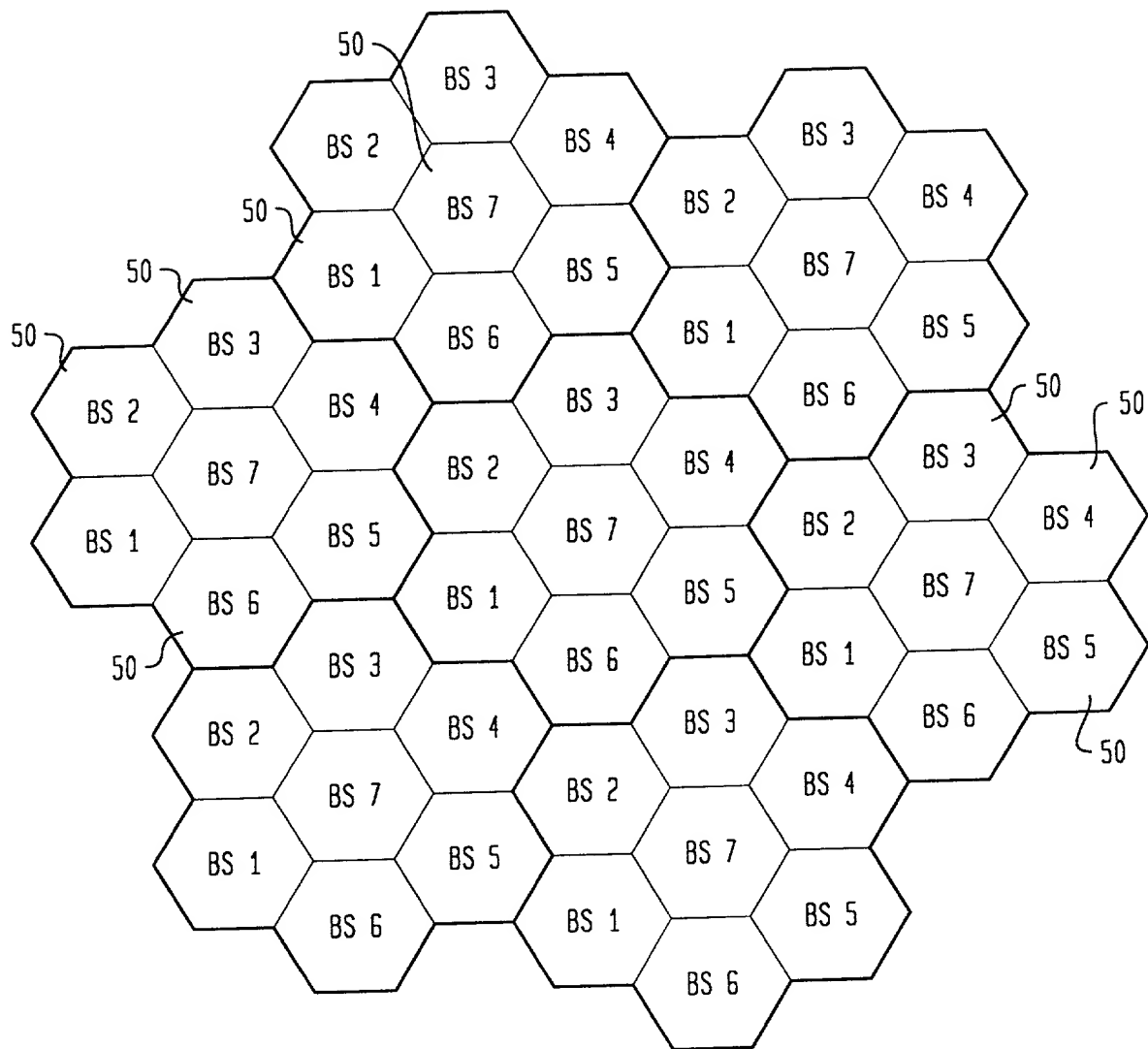


FIG. 5



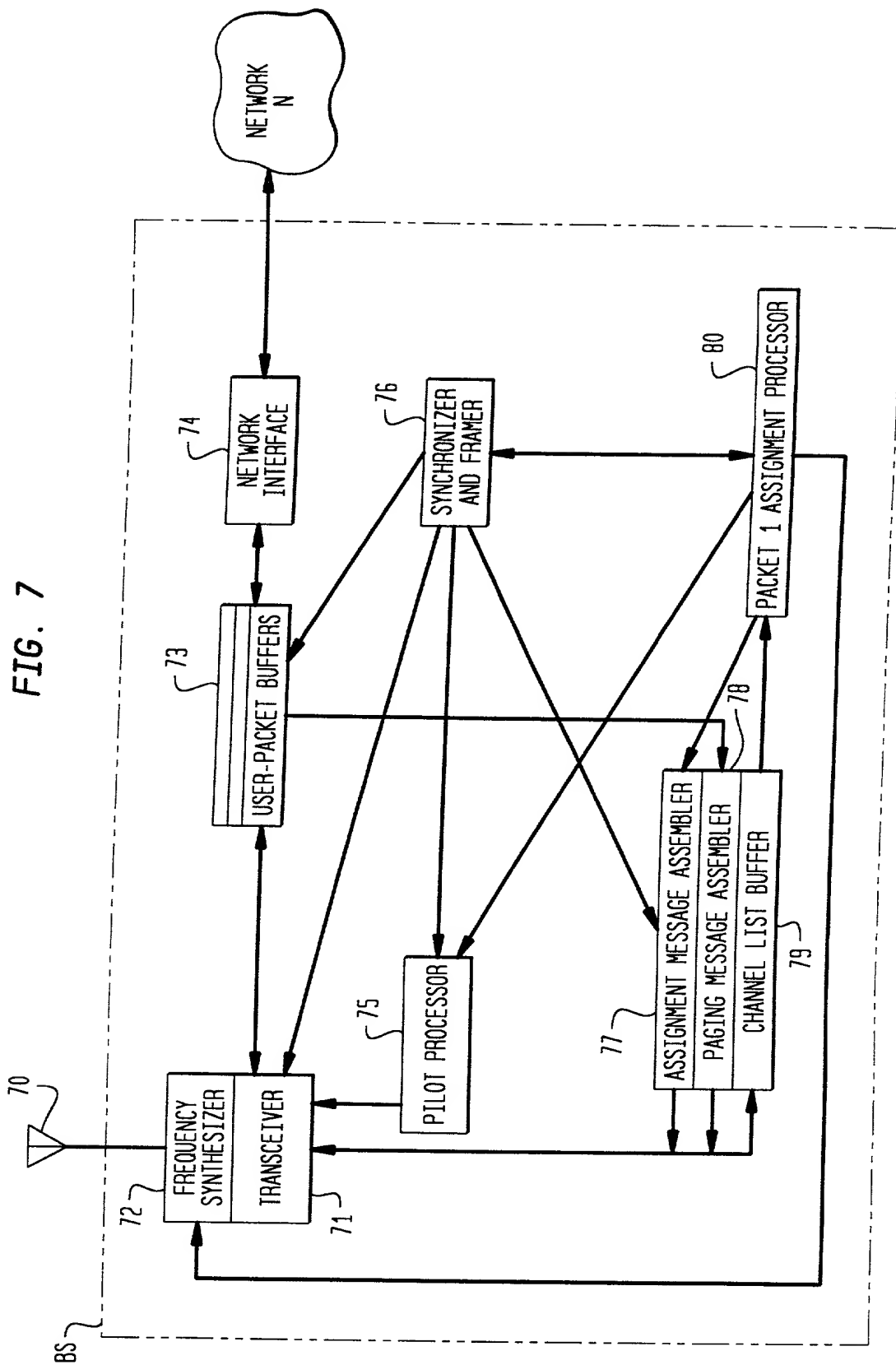


FIG. 8

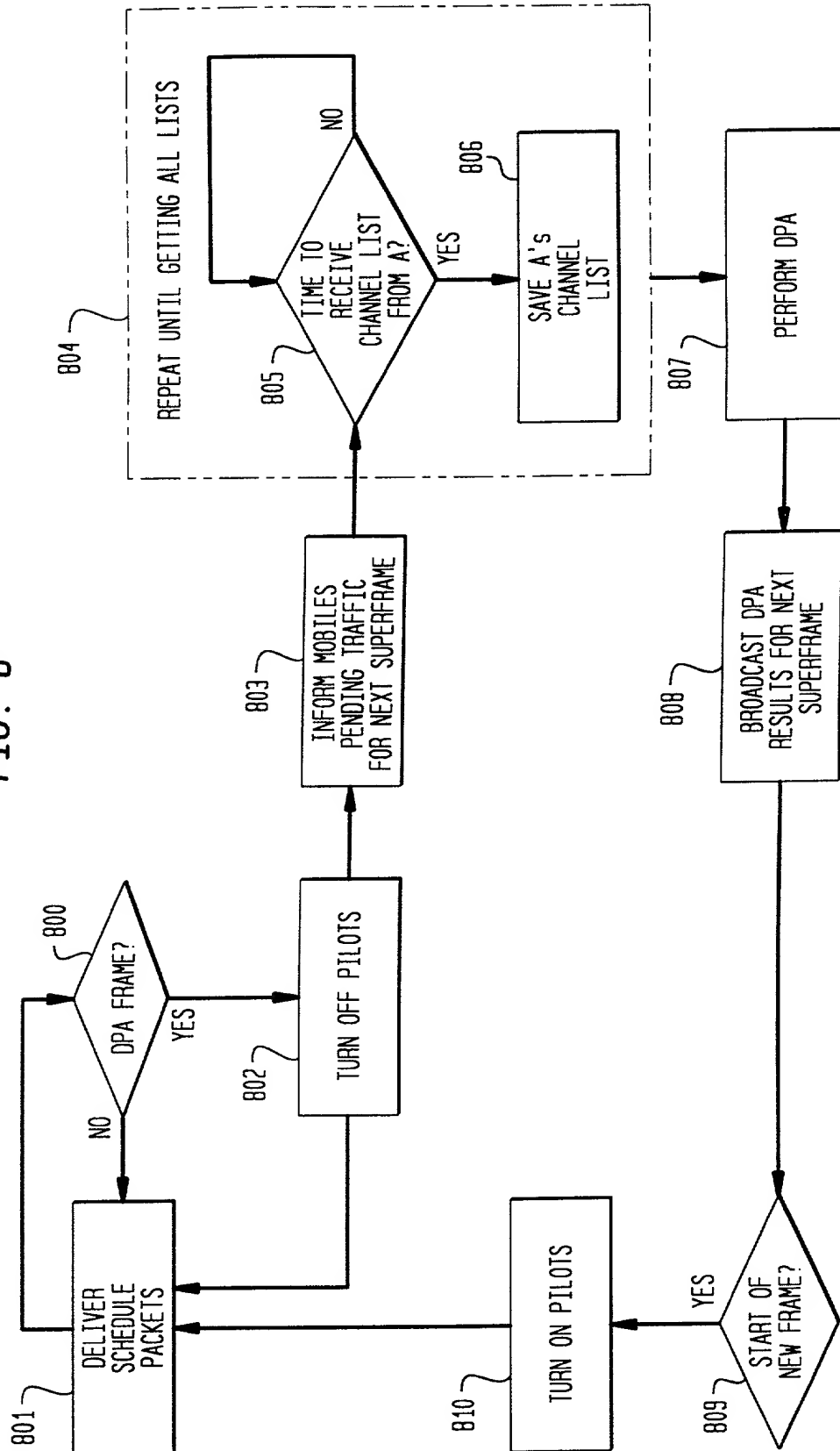
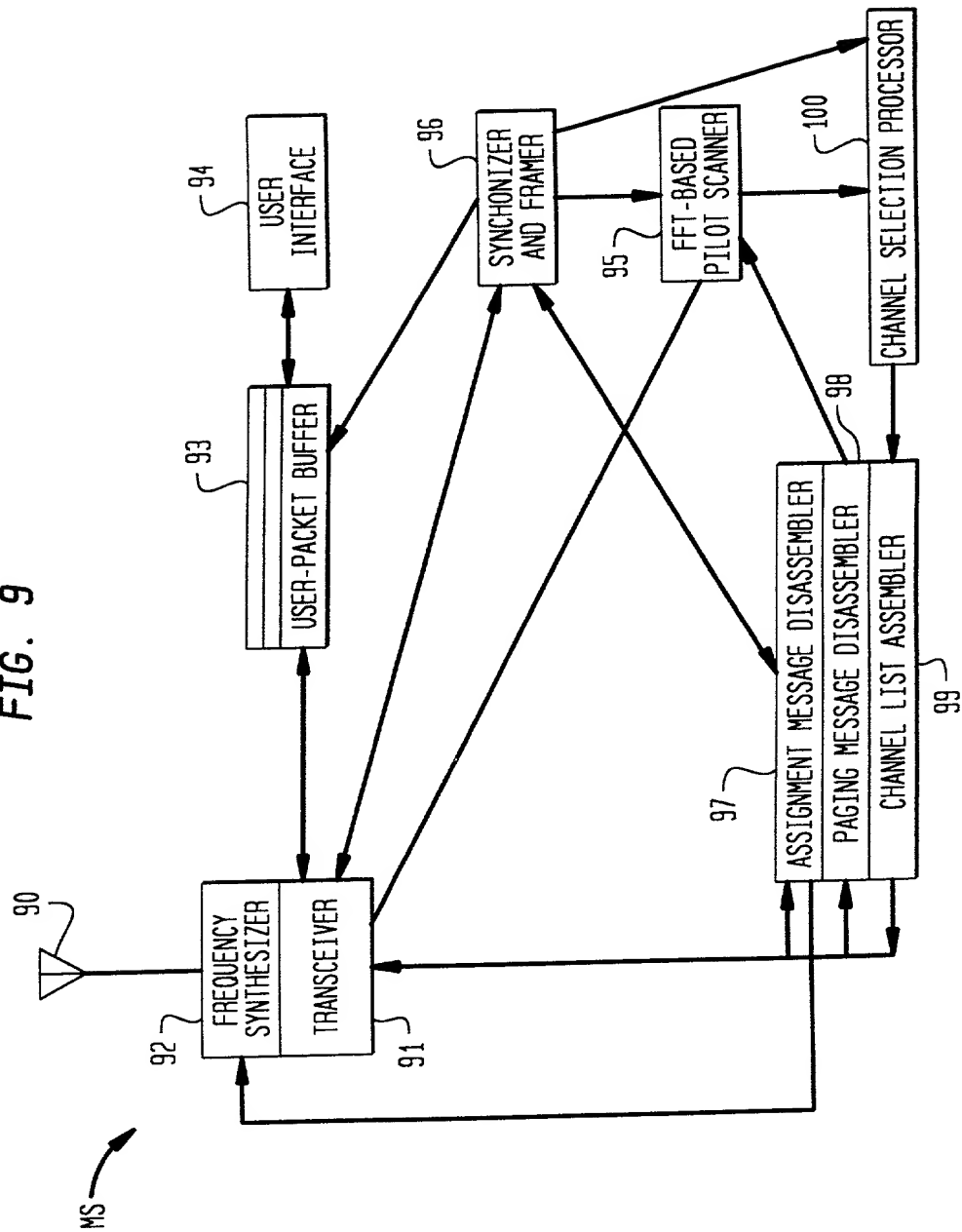


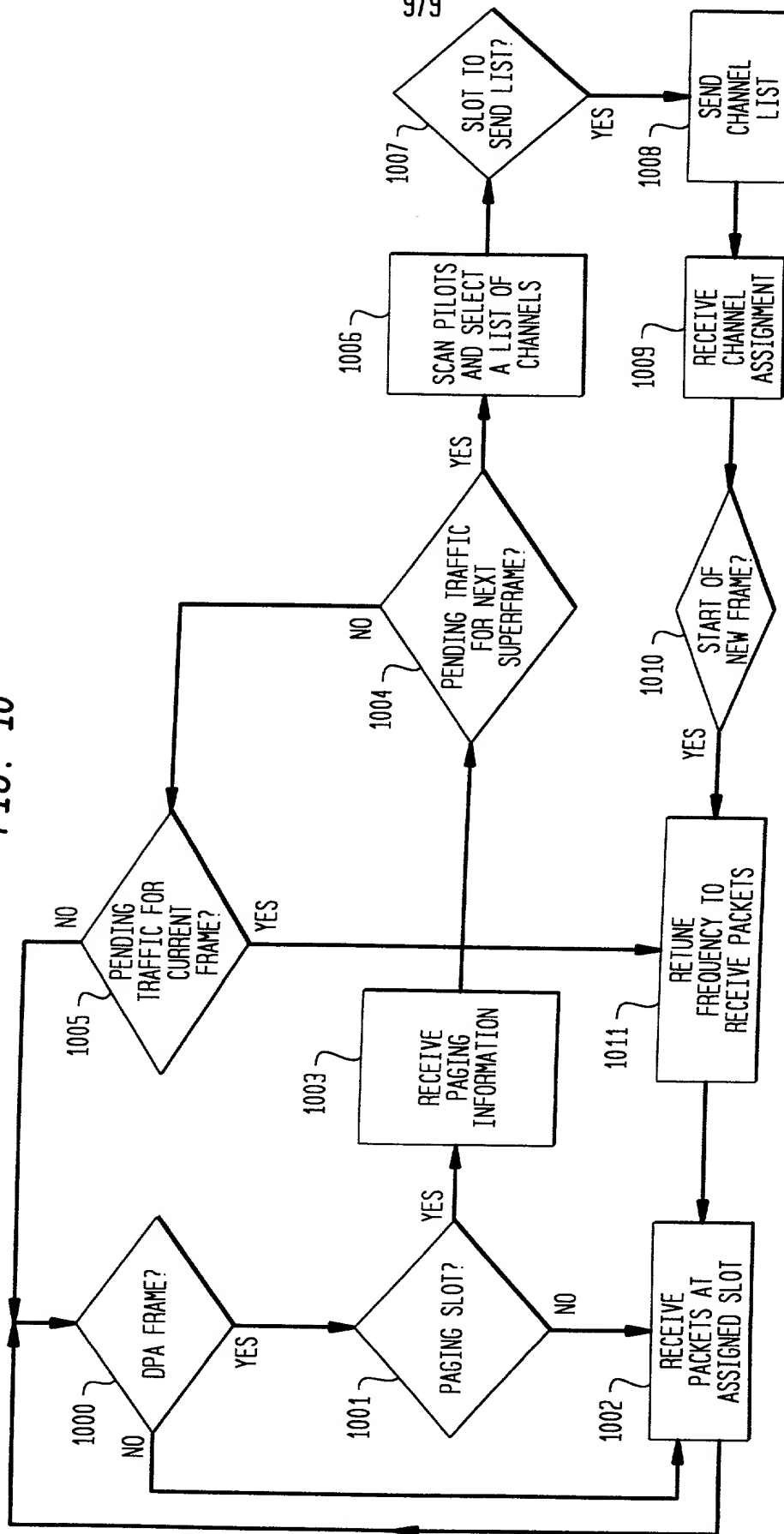
FIG. 9





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FIG. 10



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Declaration and Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **System And Method For Dynamically Assigning Channels For Wireless Packet Communications** the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I acknowledge the duty to disclose all information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

None

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

None

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

J.C. Chuang 1-12

I hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

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